

Thomas Slota  
Patrick G. Duff, Sr.  
Union Trustees

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Employer Trustees

## Local 3 Sales Pension Fund

1640 W. Van Buren Street • Chicago, Illinois 60612 • 312-243-4300

July 15, 2013

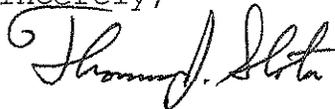
U. S. Dept. of Labor  
Employee Benefits Security Admin  
Public Disclosure Room N-1513  
200 Constitution Avenue, NW  
Washington, DC 20210

Re: Notice of Endangered Status  
Liquor and Allied Workers Union Local No. 3  
Pension Fund - Sales Representatives Division  
EIN 36-6527340, Plan # 001

Gentlemen:

Enclosed is a copy of the current 2013 "Notice of Endangered Status" provided to participants, beneficiaries, employers and union.

Sincerely,



Thomas J. Slota,  
Trustee

TJS:wp

ESBA/PUBLIC DISCLOSURE  
2013 JUL 22 PM 5:13

## Notice of Endangered Status For the

### Liquor and Allied Workers' Union Local No. 3 Pension Fund – Sales Representatives Division

July 15, 2013

The Pension Protection Act of 2006 (PPA) requires that a Pension Fund's actuary determine the Fund's status each plan year and certify that status to the IRS and the Trustees. On June 28, 2013, the Plan's actuary certified that, although *not* in critical status or seriously endangered status, the Plan is in endangered status for the plan year beginning April 1, 2013 because the Plan is expected to be less than 80% funded during the 2013 year. It is important to note that if the Fund's status for a plan year is endangered, the Trustees must notify all plan participants and employers in writing, as well as take corrective action to restore the financial health of the plan.

#### Endangered Status

This letter will serve as the Notice that the Fund is in "endangered" status for the plan year beginning April 1, 2013.

#### Funding Improvement Plan (FIP)

Federal law requires pension plans in endangered status to adopt a funding improvement plan (FIP). The FIP is an action plan designed to restore the Plan to financial health, improve the balance of assets and liabilities and to avoid any accumulated funding deficiency.

The Trustees adopted an FIP prior to February 22, 2009. The plan consists of actions which, based on reasonably anticipated experience and under reasonable actuarial assumptions, will result in the plan meeting certain funding improvement benchmarks within a 13 year period (including a 3 year extension from WRERA). The funding improvement period is April 1, 2011 through March 31, 2024.

#### Where to Get More Information

For more information about this Notice, you may contact Mr. Patrick Duff, Jr. at Local 3 Pension Fund, Sales Representatives Division, 1640 West Van Buren Street, Chicago, IL 60612, (312) 243-4300.

You have a right to receive a copy of the funding improvement plan from the plan office.